

## ABSTRACT

Recent successes in achieving highly durable clinical responses with antibodies to immune checkpoint receptors such as CTLA4 and PD1 have transformed the outlook for cancer therapy. While these antibody-based therapies show impressive clinical activity, they suffer from the shortcomings including the need to administer by intravenous injection, failure to show response in majority of patients and immune-related adverse events (irAEs) due to the breaking of immune self-tolerance. Sustained target inhibition as a result of a long half-life (>15-20 days) and >70% target occupancy for months may be factors contributing to irAEs observed.

We sought to discover and develop small molecule immune checkpoint antagonists capable of targeting PD-L1 and another immune checkpoint pathway. We reasoned that such therapeutic agents will be amenable for oral dosing, likely show greater response rate due to dual antagonism and allow better management of irAEs due to a shorter pharmacokinetic profile.

A focused library of compounds mimicking the interaction of checkpoint proteins was designed and synthesized. Screening and analysis of the resulting library led to the identification of hits capable of functional disruption of the checkpoint protein(s) signaling depending upon the pockets of sequence similarity of interacting proteins. Further optimization resulted in compounds targeting PD-L1/VISTA or PD-L1/TIM-3 with desirable physico-chemical properties and exposure upon oral administration.

The ability of compounds to disrupt specific immune checkpoint pathways was confirmed by functional studies. Identified lead compounds exhibit potent activity when tested in assays to rescue lymphocyte proliferation and effector functions inhibited by respective ligands/proteins. In a panel of functional assays, the selected lead compounds showed selectivity against other immune checkpoint pathways including CTLA4, LAG3 and BTLA. Lead compounds exhibited sustained immune PD in vitro and in vivo suggesting that drug efficacy may extend beyond drug clearance. Lead compounds exhibited significant efficacy in syngeneic pre-clinical tumor models of melanoma, breast carcinoma and colon cancers upon once a day oral dosing. In repeated dose toxicity studies, the most advanced compound, AUPM-170, a dual antagonist of PD-L1 and VISTA, was well tolerated at >100x of the efficacious doses.

The data demonstrating the inhibition of PD-L1 and another immune checkpoint pathway (VISTA or Tim3) resulting in activation of T cells and anti-tumor activities support further development of these orally bioavailable agents. IND-enabling studies with one of the lead compounds, AUPM-170, are underway towards advancing it to the clinic.

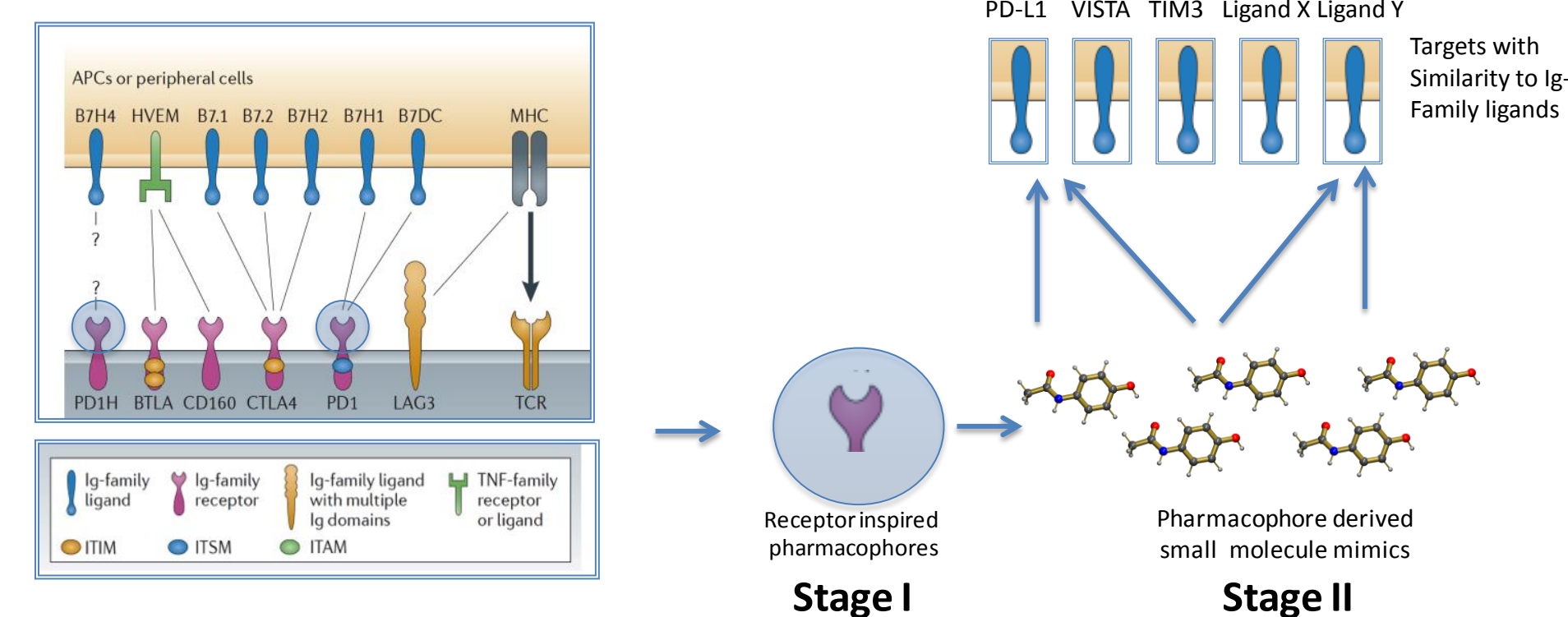
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## OBJECTIVES

Small molecule immune checkpoint antagonists with the ability to disrupt the PD-1/PD-L1 checkpoint pathway plus one or more related pathways. Advantages include:

- Oral bioavailability for the ease of dosing
- Short-acting agents for better management of adverse events
- Simultaneous targeting of multiple immune checkpoints to improve the response rate and with an opportunity to expand patient population beyond those that respond to anti-PD1/PD-L1 therapies

### Our approach



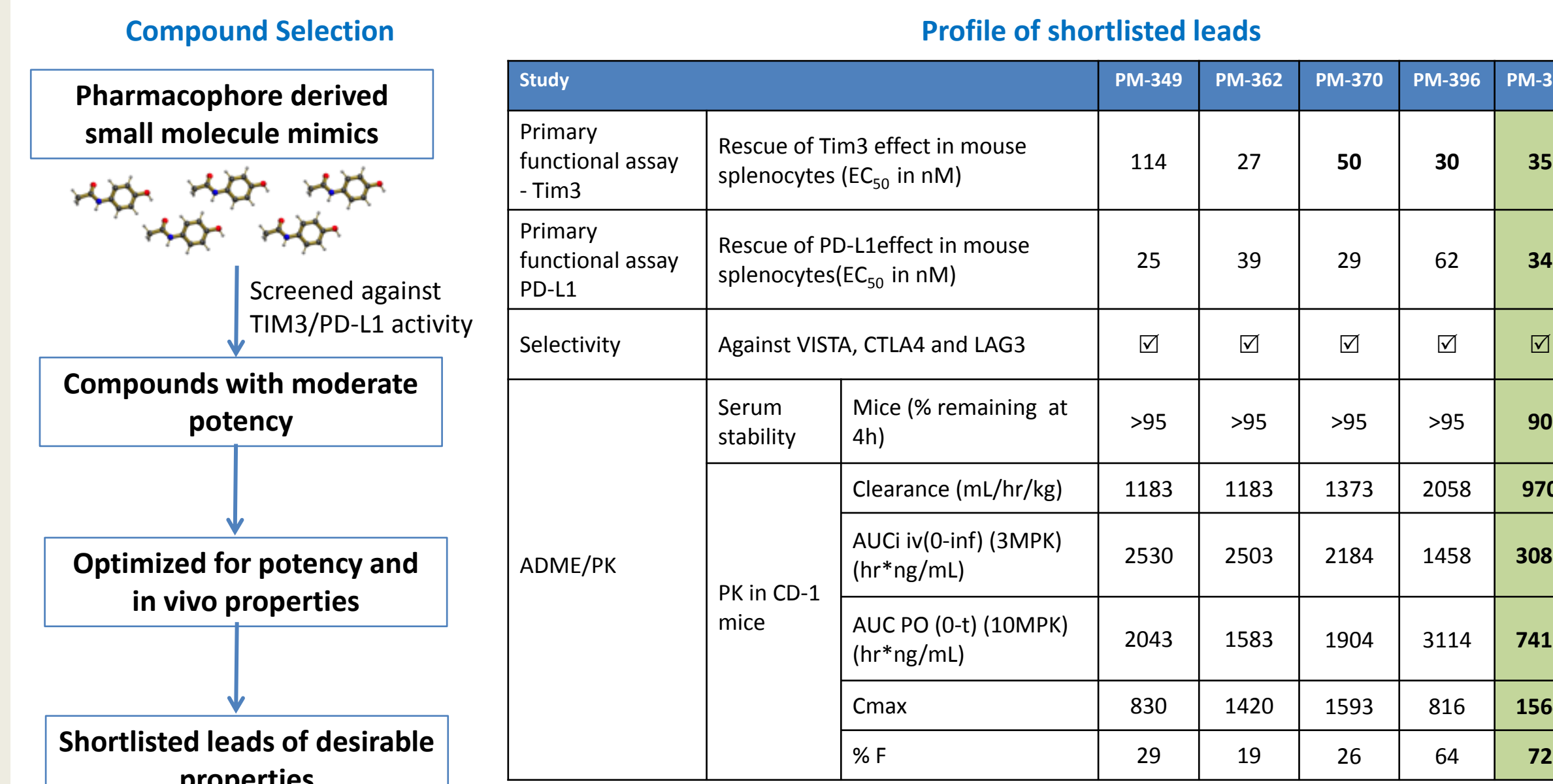
## RESULTS

### First clinical candidate from our approach: AUPM-170/CA170 (PD-L1/VISTA dual antagonist)

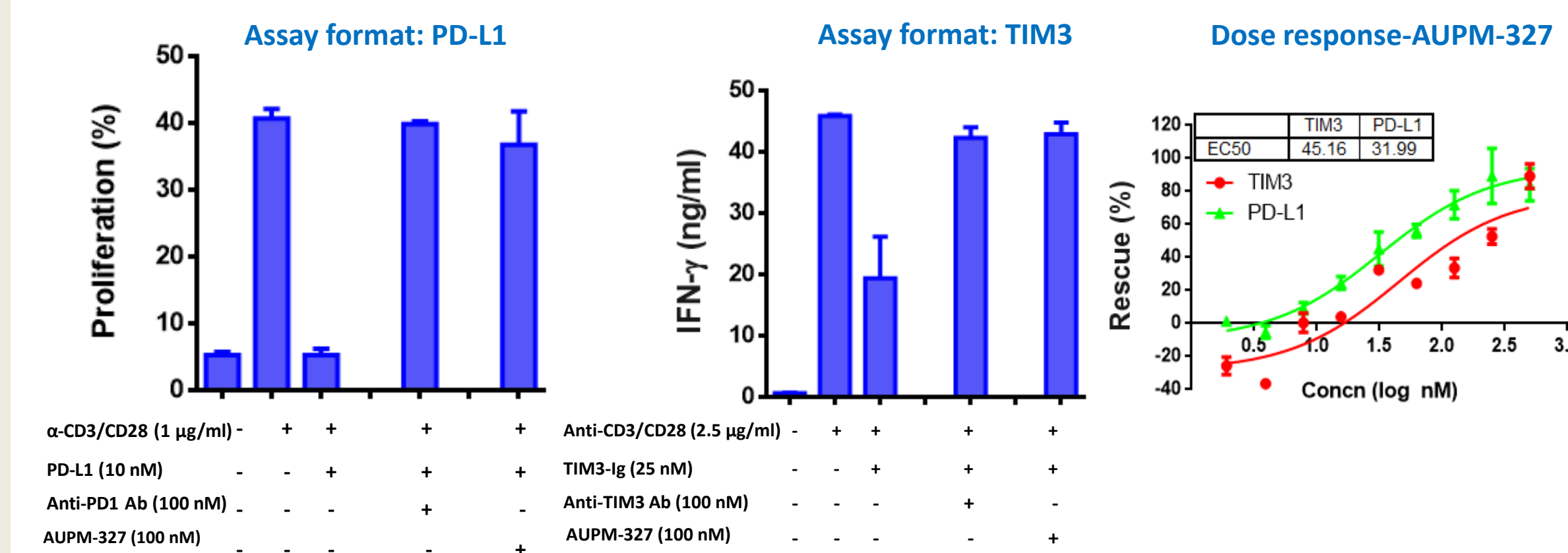
Category	Assay	EC <sub>50</sub> (nM)	Value
In vitro Pharmacology	Primary functional assay -Mouse (EC <sub>50</sub> nM)	Rescue of proliferation mediated by PD-L1 /L2	17/13nM
	Primary functional activity in human system - ligand/receptor specific (EC <sub>50</sub> nM)	Rescue of proliferation mediated by PD-L1 /L2	15/23nM
		Rescue of IFN $\gamma$ release mediated by PD-L1 /L2	43/140nM
		Rescue of IFN $\gamma$ release mediated by VISTA-Fc	37nM
		Rescue of IFN $\gamma$ release : Mouse (EC <sub>50</sub> in nM)	21/20nM
DMPK	Additional profiling in tox species	Rescue of IFN $\gamma$ release : Monkey (EC <sub>50</sub> in nM)	53/73nM
	Selectivity analysis	Selectivity against TIM-3, CTLA4, LAG3, BTLA, CD28 demonstrated	
	Stability in serum at 10 $\mu$ M (% remaining at 4h)	Mice /Rat/Human	100
	Metabolic stability in liver microsomes (at 1 $\mu$ M)	Mice/Rat/Dog/Moneyk/Human	T1/2 >90 min
	Plasma protein binding (% bound)	Mice/Rat/Human	76/67/67
In vivo Pharmacology	CYP inhibition (IC <sub>50</sub> $\mu$ M)	3A4, 2C9, 2C19, 2D6	>100
	PK in CD-1 mice	Clearance ((mL/hr/kg)	928
		AUCi iv(0-inf) (3MPK) (hr*ng/mL)	3201
		AUC PO (0-t) (10MPK) (hr*ng/mL)	6943
		Cmax (ng/ml)	1428
Safety	PK-PD in monkeys	Oral or IV administered CA-170 increases IFN- $\gamma$ expression in ex vivo stimulated monkey PBMCs in a manner that correlates with plasma drug concentration.	65
	B16F10 Melanoma	Oral dosing significantly reduced the number of B16/F10 melanoma lung metastases relative to vehicle and showed comparable efficacy to a blocking anti-PD-1 comparator antibody.	
	MC38 Colon carcinoma	Oral dosing significantly reduced the growth rate of implanted mouse MC38 colon carcinoma tumors relative to vehicle and showed comparable efficacy to a blocking anti-PD-1 comparator antibody. Did not show anti-tumor efficacy when subcutaneous tumors were grown in immune deficient SCID-Beige mice	
Safety	CT26 Colon carcinoma	Oral administration of AUPM170 at 10mpk in combination with cyclophosphamide results in statistically significant tumor growth inhibition	
	CEREP receptor, ion channel and enzyme panel	No significant inhibition at the tested concentration of 10 $\mu$ M	
Safety	HERG, AMES and micronucleus test	Clean profile	
	28 day GLP tox in mouse and monkey	Well tolerated with the expected NOAEL >1000mg/kg	

Identified orally bio-available dual antagonist of PD-L1 and VISTA pathways; Completed IND-enabling studies towards advancing it to the clinic

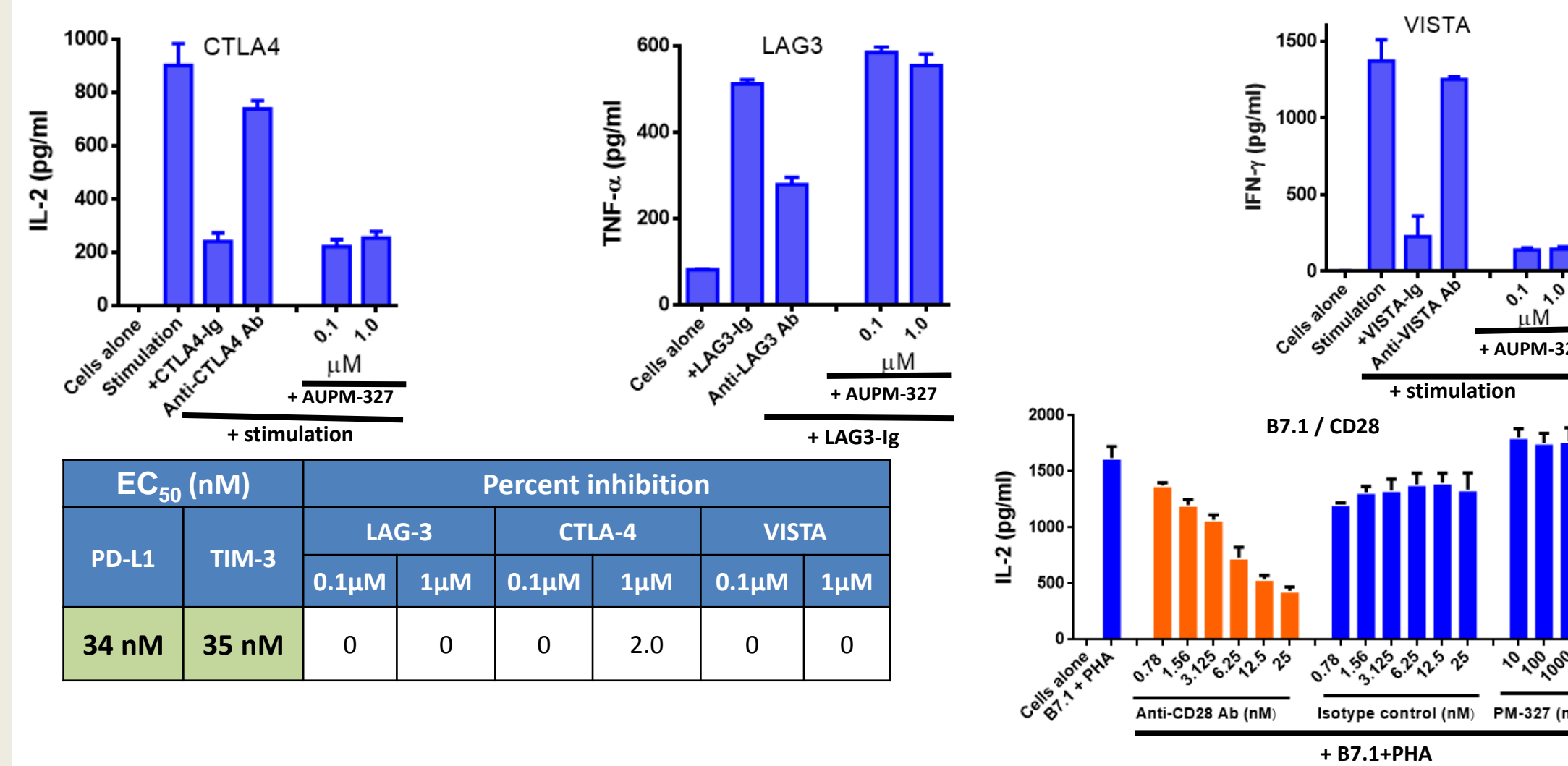
### Strategy for lead identification of TIM3/PD-L1 dual antagonists



### Profile of the advanced lead AUPM-327



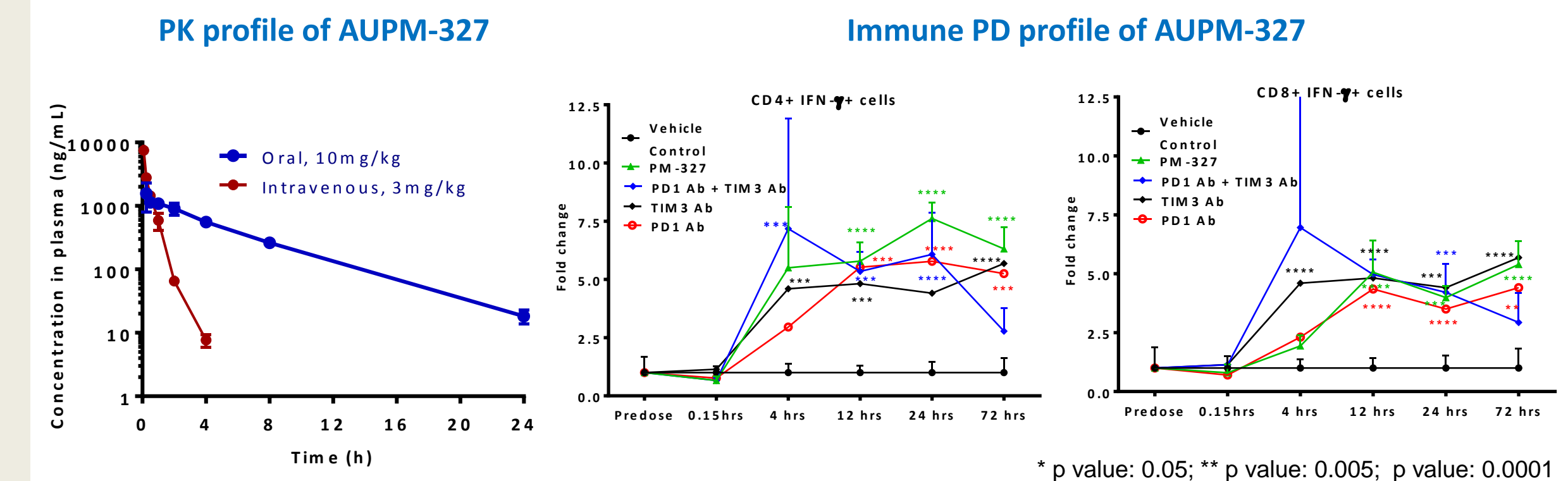
### AUPM-327 does not inhibit other immune checkpoints



No inhibition of other checkpoints tested and no off target effect on B7.1

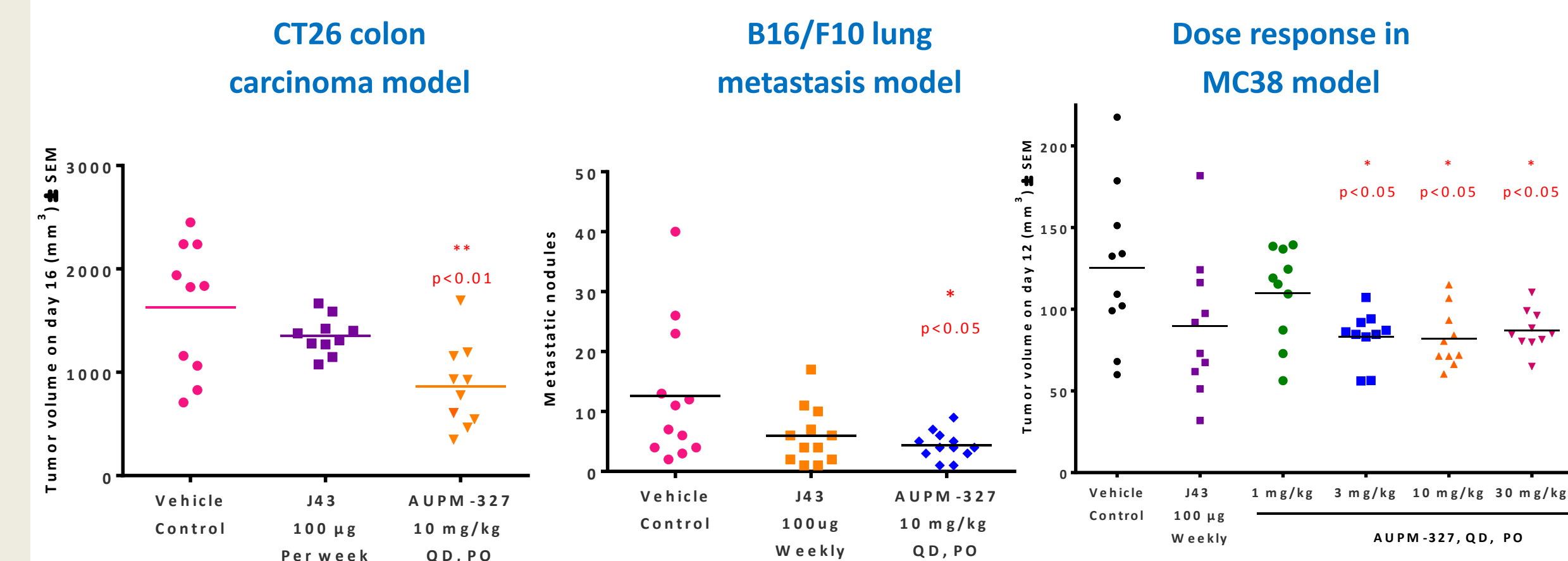
## RESULTS

### Exhibits excellent oral PK that correlates with sustained immune PD in vivo



AUPM-327 shows good PD modulation comparable to anti-TIM3 antibody and a combination of anti-PD1 antibody and anti-TIM3 antibody

### Anti-tumor efficacy of AUPM-327



AUPM-327 shows significant anti-tumor efficacy in multiple syngeneic mouse models

## SUMMARY

We have identified orally bio-available immune checkpoint antagonists.

- The first candidate from our approach targeting PD-L1 and VISTA pathways has completed IND-enabling studies and will be advancing to the clinic soon
- We have now identified a lead candidate targeting PD-L1 and TIM-3 pathways that exhibits desirable potency, DMPK properties including oral bioavailability, and anti-tumor efficacy in multiple syngeneic tumor models

Flexible oral administration and antagonism of PD-L and another immune checkpoint pathway may provide for improved or expanded clinical benefit in cancer patients